



COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 2023I
www.uspto.gov

APPLICATION NUMBER

FILING/RECEIPT DATE

FIRST NAMED APPLICANT

ATTORNEY DOCKET NUMBER

10/051,560

01/17/2002

Gary M. Sanderson

NORTH-458A

CONFIRMATION NO. 9653

FORMALITIES LETTER

OC00000007469156

Bruce B. Brunda Suite 250 75 Enterprise Aliso Viejo, CA 92656

Date Mailed: 02/13/2002

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(I) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 130.

A copy of this notice <u>MUST</u> be returned with the reply.

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

03/19/2002 MWOLDER1 00000029 141325 10051560

01 FC:105

130.00 CH



43

\$MP?

PTO/SB/21 (08-00)

Approved for use through 10/31/2002. OMB 0651-0031

U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Tion Act of 1995, no persons are required to respond to a collection of information upless it displays a valid OMB control understance.

| | 5177.0. C. 1000, 110 poros. | io aro roquiros terro | Application Nun | | 10/051,560 |
|---|-----------------------------|---|--|------------|---|
| O P E YOU A NOMITTAL | | | nber | | |
| (O` TRANSMITTAL | | Filing Date | | 01/17/2002 | |
| MAR 1 4 Due | FORM | | First Named Inv | entor | Gary M. Sanderson |
| (to be seed for a | all correspondence aft | er initial filing) | Group Art Unit | | 2152 |
| III O | | | Examiner Name | | Unknown |
| Total Number | of Pages in This Subm | nission 12 | Attorney Docket I | Number | NORTH-458A/ A-2360 |
| | | ENCL | OSURES (| check a | ll that apply) |
| Amendment / Reply After Final Affidavits/de | Fee Attached | | nent Papers Application) (s) g-related Papers to Convert to a nal Application f Attorney, Revocation of Correspondence | | After Allowance Communication to Group Appeal Communication to Board of Appeals and Interferences Appeal Communication to Group (Appeal Notice, Brief, Reply Brief) Proprietary Information Status Letter Other Enclosure(s) (please identify below): |
| Response to Missing Parts/ Incomplete Application | | Request | I Disclaimer for Refund mber of CD(s) | 1 | Certificate of Mailing (1 page) Return Postcard |
| | | Signed Declaration for Utility or Design Patent Application (37 CFR 1.63) | | | |
| | SIGNATU | RE OF APPLIC | CANT, ATTORNE | Y, OR AG | SENT |
| Firm <i>or</i> Individual name | Bruce B. Brur | nda, STETII | NA BRUNDA | GARRI | ED & BRUCKER |
| Signature | De U | Deru | <u> </u> | | |
| Date | | | 03/01/20 | 002 | · |
| CERTIFICATE OF MAILING | | | | | |
| I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, Washington, DC 20231 on this date: March 1, 2002 | | | | | |
| Typed or printed name Cheri L. Brettmann | | | | | |
| Signature Cheri J. Bri | | | ttmann | Date | 03/01/2002 |

PTO/SB/17 (10-01)
Approved for use through 10/31/2002. OMB 0651-0032
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE
Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

| and the repetition reduction risk of 1000, no persons are required to | capona to a cone |
|---|------------------|
| EEE TOANGMITTAL | |
| FEE TRANSMITTAL | Application N |
| `````````` ` for FY 2002 | Filing Date |
| 1 min 5 01 1 1 2002 | First Named |
| AR 1 4 2000 Felent fees are subject to annual revision. | Examiner Na |
| . \$ / | |

(\$)

130.00

TOTAL AMOUNT OF PAYMENT

| Complete if Known | | |
|----------------------|--------------------|--|
| Application Number | 10/051,560 | |
| Filing Date | 01/17/2002 | |
| First Named Inventor | Gary M. Sanderson | |
| Examiner Name | Unknown | |
| Group Art Unit | 2152 | |
| Attorney Docket No. | NORTH-458A/ A-2360 | |

| 1. The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to: Deposit Account 14 1325 ACCOUNT 14 1325 | |
|---|----------|
| Deposit Large Small | |
| | |
| Number 14-1325 | |
| Code (\$) Code (\$) | Fee Paid |
| Account Name Northrop Grumman 105 130 205 65 Surcharge - late filing fee or oath | 130.00 |
| Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17 127 50 227 25 Surcharge - late provisional filing fee or cover sheet | |
| Applicant claims small entity status. 139 130 139 130 Non-English specification | |
| See 37 CFR 1.27 147 2,520 147 2,520 For filing a request for ex parte reexamination | |
| 2. Payment Enclosed: 112 920* 112 920* Requesting publication of SIR prior to | |
| Check Credit card Order Other Other 113 1,840* 113 1,840* Requesting publication of SIR after | |
| FEE CALCULATION Examiner action | |
| 1. BASIC FILING FEE 115 110 215 55 Extension for reply within first month | |
| Large Entity Small Entity 116 400 216 200 Extension for reply within second month | |
| Fee Fee Fee Fee Description Code (\$) Code (\$) Fee Paid 117 920 217 460 Extension for reply within third month | |
| 101 740 201 370 Utility filing fee 118 1,440 218 720 Extension for reply within fourth month | |
| 106 330 206 165 Design filing fee 128 1,960 228 980 Extension for reply within fifth month | |
| 107 510 207 255 Plant filing fee 119 320 219 160 Notice of Appeal | |
| 108 740 208 370 Reissue filing fee 120 320 220 160 Filing a brief in support of an appeal | |
| 114 160 214 80 Provisional filing fee 121 280 221 140 Request for oral hearing | |
| 138 1,510 138 1,510 Petition to institute a public use proceeding | |
| SUBTOTAL (1) (\$) 140 110 240 55 Petition to revive - unavoidable | |
| 2. EXTRA CLAIM FEES 141 1,280 241 640 Petition to revive - unintentional | |
| Ext <u>ra Claims below Fee Paid</u> 142 1,280 242 640 Utility issue fee (or reissue) | |
| Total Claims | |
| Claims | |
| Multiple Dependent = 122 130 122 130 Petitions to the Commissioner | |
| 123 50 123 50 Processing fee under 37 CFR 1.17(q) | |
| Large Entity Small Entity Fee Fee Fee Fee Fee Description 126 180 126 180 Submission of Information Disclosure Stmt | |
| Code (\$) Code (\$) 581 40 581 40 Recording each patent assignment per property (times number of properties) | |
| 102 84 202 42 Independent claims in excess of 3 146 740 246 370 Filing a submission after final rejection | |
| 104 280 204 140 Multiple dependent claim, if not paid (37 CFR § 1.129(a)) 149 740 249 370 For each additional invention to be | |
| 109 84 209 42 ** Reissue independent claims examined (37 CFR § 1.129(b)) | |
| 110 18 210 9 ** Reissue claims in excess of 20 179 740 279 370 Request for Continued Examination (RCE) | |
| and over original patent 169 900 169 900 Request for expedited examination of a design application | |
| SUBTOTAL (2) (\$) Other fee (specify) | |
| **or number previously paid, if greater; For Reissues, see above *Reduced by Basic Filing Fee Paid SUBTOTAL (3) (\$) 13 | 30.00 |

| SUBMITTED BY | | | Complete (i | f applicable) |
|-------------------|-----------------|--|-------------|----------------|
| Name (Print/Type) | Bruse B. Brunda | Registration No. (Attorney/Agent) 28,497 | Telephone | (949) 855-1246 |
| Signature | the Decem | | Date | 03/01/2002 |

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.



ATTORNEY DOCKET: NORTH-458A Serial No. 10/051,560 Filed: January 17, 2002

fificate of Mailing under 37 CFR 1.8 or 37 CFR 1.10

I hereby certify that this correspondence is being deposited with the Χ United States Postal Service with sufficient postage as first class mail in an envelope addressed to:

> ASSISTANT COMMISSIONER FOR PATENTS WASHINGTON, D.C. 20231

| | I hereby certify that this correspondence is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10, Express Mail No addressed to: |
|----------------------|--|
| | |
| | on March 1, 2002 (Date) |
| | Cheri L. Brettmann Signature |
| | Cheri L. Brettmann Typed or printed name of person signing Certificate |
| Note: | Each paper must have its own certificate of mailing, or this certificate must identify each submitted paper. |
| 1. 2. 3. 4. | Transmittal (1 page); Fee Transmittal (1 page) (in duplicate); Notice to File Missing Parts of NonProvisional Application (2 pages); Signed Declaration for Utility or Design Patent Application (3 pages); 3 Signed Power of Attornoon (2 pages); |

3 Signed Power of Attorneys (3 pages); and

Return postcard.

5. 6.